

*IFW* 3724  
PATENT

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Date of Signature and Deposit: 5/29/07

*J. D. Franzini*

John D. Franzini, Reg. No. 31,356

OIPE JUN 01 2007

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Markku Annala  
Application No.: 10/521,048  
Filed: August 24, 2005  
For: Sawing Device and its Safety System for Precaution  
of a Breaking Saw Chain  
Group Art Unit: 3724  
Examiner: --  
Confirmation No.: 6593  
Atty. Docket No.: 750596.00003

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§1.97 AND 1.98**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This paper is being presented for filing in the above case pursuant to Rules 97 and 98 of the Rules of Practice. An Information Disclosure Statement by Applicant, Form U. S. Patent and Trademark Office/SB/08a is attached which lists the references cited and copies of the non-U. S. references are enclosed.

These are materials from oppositions that have been filed in the European Patent Office for the corresponding European patent application, including the arguments made by the opposers in the Notices of Opposition and their discussions of the references.

The Commissioner is hereby authorized to charge any additional fees due or to credit any overpayment to deposit account no. 17-0055.

Respectfully submitted,

*J. D. Franzini*

Reg. No. 31,356

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(414) 978-8747 - fax

|  |                        |               |            |
|--|------------------------|---------------|------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>( Not for submission under 37 CFR 1.99)</i> | Application Number     |               | 10521048   |
|  | Filing Date            |               | 2005-01-12 |
|  | First Named Inventor   | Markku Annala |            |
|  | Art Unit               | 3724          |            |
|  | Examiner Name          |               |            |
|  | Attorney Docket Number | 750596.00003  |            |

|  |   |          |    |    |            |                   |  |                                     |
|--|---|----------|----|----|------------|-------------------|--|-------------------------------------|
|  | 3 | 446250   | SE |    | 1986-08-25 | Janscak, Jerzy    |  | <input checked="" type="checkbox"/> |
|  | 4 | 98/53666 | WO | A1 | 1998-03-12 | Hultdin System AB |  | <input type="checkbox"/>            |

If you wish to add additional Foreign Patent Document citation information please click the Add button

#### NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T5                       |
|--------------------|---------|---|--------------------------|
|                    | 1       | Exhibit A - EP 1 528 852 B1, corresponding EP patent which is the subject of the Notices of Opposition (Exhibits B-E).  | <input type="checkbox"/> |
|                    | 2       | Exhibit B - Notice of Opposition to a European Patent; Opponent: Komatsu Forest AB; dated 01-04-2007.   | <input type="checkbox"/> |
|                    | 3       | Exhibit C - Notice of Opposition to a European Patent; Opponent: Rottne Industri AB; dated 01-05-2007.  | <input type="checkbox"/> |
|                    | 4       | Exhibit D - Notice of Opposition to a European Patent; Opponent: Ponsse Oyj; dated 01-11-2007.  | <input type="checkbox"/> |
|                    | 5       | Exhibit E - Notice of Opposition to a European Patent; Opponent: SP-Maskinder i Ljungby AB; dated 01-12-2007.   | <input type="checkbox"/> |
|                    | 6       | Exhibit F - Hultdins SuperSaw 550 F11-10 BSP; Parts Manual; cited in Exhibit E; alleged by opposer to be prior art--alleged date currently uncontested.   | <input type="checkbox"/> |
|                    | 7       | Exhibit G - Hultdins SuperSaw 4000S; Parts Manual; cited in Exhibit E; alleged by opposer to be prior art--alleged date currently uncontested.  | <input type="checkbox"/> |

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8

Exhibit F - Rottne 53-171; exploded view of a chainsaw; cited in Exhibit E; dated March 1988.

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

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**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

- That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement.  
 Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.  
 None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

|            |                  |                     |         |
|------------|------------------|---------------------|---------|
| Signature  |                  | Date (YYYY-MM-DD)   | 5/29/07 |
| Name/Print | John D. Franzini | Registration Number | 31,356  |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JUN 01 2007

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**U.S.PATENTS**

| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|---------------|------------------------|------------|---|--|
|                   | 1       | 3542094       |                        | 1970-11-24 | Gibson, et al.                                  |  |
|                   | 2       | 5802946       |                        | 1998-09-08 | Leini   |  |

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S.PATENT APPLICATION PUBLICATIONS**

| Examiner Initial* | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|--------------------|------------------------|------------------|---|--|
|                   | 1       |                    |                        |                  |   |  |

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**FOREIGN PATENT DOCUMENTS**

| Examiner Initial* | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | Ts                       |
|-------------------|---------|--------------------------------------|---------------------------|------------------------|------------------|---|--|--------------------------|
|                   | 1       | 1224437                              | GB                        |                        | 1971-03-10       | Ormark Industries, Inc.                         |  | <input type="checkbox"/> |
|                   | 2       | 1080586                              | CA                        |                        | 1980-07-01       | Koehring Canada Limited                         |  | <input type="checkbox"/> |